

# Benjamin West Driggs

## Navigate Financial LLC

999 E. MURRAY HOLLADAY ROAD, SUITE 202

SALT LAKE CITY, UT 84117

[WWW.NAVIGATEFINANCIAL.COM](http://WWW.NAVIGATEFINANCIAL.COM)

FIRM CONTACT:

BEN DRIGGS

CHIEF COMPLIANCE OFFICER

February 2025

THIS BROCHURE SUPPLEMENT PROVIDES INFORMATION ABOUT MR. DRIGGS THAT SUPPLEMENTS OUR BROCHURE. YOU SHOULD HAVE RECEIVED A COPY OF THAT BROCHURE. PLEASE CONTACT BENJAMIN DRIGGS IF YOU DID NOT RECEIVE NAVIGATE FINANCIAL LLC'S BROCHURE OR IF YOU HAVE ANY QUESTIONS ABOUT THE CONTENTS OF THIS SUPPLEMENT. ADDITIONAL INFORMATION ABOUT MR. DRIGGS IS AVAILABLE ON THE SEC'S WEBSITE AT [WWW.ADISERINFO.SEC.GOV](http://WWW.ADISERINFO.SEC.GOV) BY SEARCHING CRD #4558106.

ITEM 2:

## Educational Background & Business Experience

Benjamin West Driggs

Year of Birth: 1964

### Educational Background:

- 1989: The Fletcher School at Tufts University; Master of Arts in International Relations
- 1987: University of Utah; Bachelor of Arts in History

### Business Background:

- 06/2023 – Present Navigate Financial LLC; President and Chief Compliance Officer
- 05/2015 – 05/2023 Wells Fargo Advisors Financial Network; Financial Advisor
- 03/2009 – 05/2015: UBS Financial Services Inc.; Financial Advisor
- 06/2002 – 03/2009 Smith Barney; Financial Advisor

### Exams, Licenses & Other Professional Designations:

- 2018: Securities Industry Essentials Exam
- 2015: Series 24 Exam
- 2007: Chartered Retirement Plans Specialist (CRPS®)
- 2003: Series 31 Exam
- 2002: Series 7, 63, & 65 Exams

### Chartered Retirement Plans Specialist (CRPS®)

The CRPS® Program is specifically targeted at professionals who design, install, and maintain retirement plans for the business community. The CRPS® is awarded by the College for Financial Planning to individuals for successfully completing the program, passing the final examination and complying with the Code of Ethics, which includes agreeing to abide by the Standards of Professional Conduct and Terms and Conditions. Conferment of the designation is contingent upon the College for Financial Planning's review of matters either self-disclosed or discovered by the College. Continued use of the CRPS® designation is subject to ongoing renewal requirements. Every 2 years individuals must renew their right to continue using the CRPS® designation by: completing 16 hours of continuing education, reaffirming to abide by the Standards of Professional Conduct, Terms and Conditions, and self-disclose any criminal, civil, self-regulatory organization, or governmental agency inquiry, investigation, or proceeding relating to their professional or business conduct.

ITEM 3:

## **Disciplinary Information**

There are no legal or disciplinary events material to the evaluation of Mr. Driggs.

ITEM 4:

## **Other Business Activities**

Mr. Driggs is not involved in any other business activities.

ITEM 5:

## **Additional Compensation**

In addition to advisory fees, Mr. Driggs does not receive any other economic benefit for providing advisory services.

ITEM 6:

## **Supervision**

Mr. Driggs is an owner and the Chief Compliance Officer and as such has no internal supervision placed over him. He is, however, bound by our firm's Code of Ethics.